



Dutch Caribbean  
Securities Exchange

## Reservation Stock Code/Symbol



February 2021

## Reservation of a Stock Code/Symbol

The reservation for a trading or ticker symbol (hereafter referred to as security a/o stock code or symbol) is possible for companies that wish to list on the exchange but are not at the stage yet of providing the necessary listing documentation yet wish to assure themselves of the availability of their preferred trading symbol. The company should select one of our approved Listing Advisors (<https://www.dcsx.cw/listing-advisors>) that will execute the following procedures on behalf of the company to obtain the desired symbol or code at the exchange:

1. Complete the Reserved Stock Code Application Form. The form can be downloaded from our website: <https://www.dcsx.cw/downloads>
2. Send the company's logo or a corresponding image for the announcement of the stock code reservation on our website. Refer to the Listing News section on our website for some examples: <https://www.dcsx.cw/?s=stock+symbol+approval>
3. Pay the stock code reservation fee of 500USD plus 6% turnover tax and provide the exchange with a proof of payment.

## Description of the Stock Code Reservation Process

1. The exchange needs to receive the **completed signed** stock code application form to initiate the process.
2. The exchange will check availability for the reservation of the requested code.
3. The exchange then will proceed to provide you with the invoice for the stock reservation.
4. Once the exchange confirms that the payment has been settled at the bank, only then will we provide the approval (certificate) to the listing advisor and publish the announcement of the symbol or code reservation on our website and social media.
5. The symbol or code reservation is valid for 6 months after approval date.

The moment the company is ready to list, their Listing Advisor can initiate the listing application process. For this we refer to the Memo Listing on a Securities Exchange and The Listing Application Form that can be downloaded from our website: <https://www.dcsx.cw/downloads/>

If you are a company that wishes to initiate a symbol or code reservation, feel free to contact one of our approved Listing Advisors. The list of all our Listing Advisors is published on our website including their direct contact information: <https://www.dcsx.cw/listing-advisors/>

We hope the above provides the necessary initial information.

Feel free to contact us for any additional information at [info@dcsx.cw](mailto:info@dcsx.cw)